

Implications of Patient Age and ASA Physical Status for Operating Room Management Decisions

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BACKGROUND: In elderly, high-risk patients, operating room (OR) turnaround times are especially difficult to estimate, and the managerial implications of patient age and ASA physical status for OR management decisions remain unclear. We hypothesized that evaluating patient age and ASA physical status in the right model would improve accuracy of turnaround time estimates and, thus, would have decisive implications for OR management.

METHODS: By using various multivariate techniques, we modeled turnaround times of 13,632 OR procedures with respect to multiple variables including surgical list, age, ASA physical status, duration of the procedure, and duration of the preceding procedure. We first assessed correlations and general descriptive features of the data. Then, we constructed decision tables for OR management consisting of 50th and 95th percentiles of age/ASA-dependent estimates of turnaround times. In addition, we applied linear and generalized linear multivariate models to predict turnaround times. The forecasting power of the models was assessed in view of single cases but also in view of critical managerial key figures (50th and 95th percentile turnaround times). The models were calibrated on 80% of the data, and their predictive value was tested on the remaining 20%. We considered our data in a Monte Carlo simulation to deduce actual reductions of overutilized OR time when applying the results as presented in this work.

RESULTS: Using the best models, we achieved an increase in predictive accuracy of 7.7% (all lists), ranging from 2.5% (general surgery) to 21.0% (trauma surgery) relative to age/ASA-independent medians of turnaround times. All models decreased the forecasting error, signifying a relevant increase in planning accuracy. We constructed a management decision table to estimate age/ASA-dependent turnaround time for OR scheduling at our hospital.

CONCLUSIONS: The decision tables allow OR managers at our hospital to schedule procedures more accurately. Evaluation of patient age and ASA physical status as variables can help to better predict turnaround times, which can facilitate scheduling, for example, to schedule overlapping induction rooms, to reduce overutilized OR time by optimizing allocation of patients to several ORs, and to improve logistics of prioritizing transportation of advanced age/high ASA physical status patients to the OR. (Anesth Analg 2016;122:1169–77)

The ultimate goal of efficient operating room (OR) management must be the reduction of overutilized OR time.¹ Strum et al.² have defined any surgical cases that end (or begin) outside budgeted OR time as overutilization of a service; thus, overutilized OR time is the difference between total hours of cases (including turnover times) performed and the allocated OR time. A number of factors, including accurate OR data

collection, analysis of causes of delays, improving strategies for minimizing common delays, improving personal accountability, streamlining procedures, and fostering interdisciplinary teamwork, have been shown to improve OR efficiency.³ Optimizing staffing and case scheduling are central managerial strategies for net cost reductions in the perioperative setting.⁴ It has been shown that anesthesiologists usually can make reasonable predictions regarding “anesthesia release time” (patient-on-table until release for surgical preparation),⁵ but it is difficult to accurately predict induction times in individual patients, which is a central component of turnover time and turnaround time.

There have been different definitions of turnover time. The glossary developed by the Association of Anesthesia Clinical Directors⁶ discusses different possibilities: either the “time from prior patient out of room to succeeding patient in room time for sequentially scheduled cases” or “any time when they [Surgeons] are unable to operate...thus...the time between the end of surgery on one case and the beginning of surgery on the next case” (Fig.1). Thus, depending on the definition, anesthesia team activities with the patient in the room are not necessarily part of turnover time. Commonly, the term turnover time is used for the interval a patient is out of room until the following patient enters. To avoid confusion, we have used the term turnaround time, as used before, e.g., by Sandberg et al.⁷

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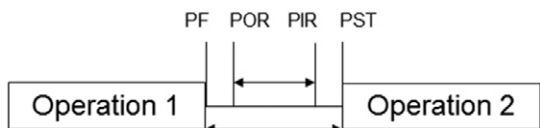


Figure 1. Possible definitions of turnover times (↔) as discussed by the Association of Anesthesia Clinical Directors. Procedure/surgery finish (PF): “Time when... the physician/surgeons have completed all procedure-related activities on the patient.” Patient out of room (POR): “Time at which patient leaves OR.” Patient in room (PIR): “Time when patient enters the OR.” Procedure/surgery start time (PST): “Time the procedure is begun.” Studying the time PF to PST and to distinguish from the interval POR to PIR, we use the term turnaround time for the studied interval.

Table 1. Known Evidence About Age and ASA Physical Status Dependence of Turnover Times

Publication	Study size	Age/ASA PS-related evidence for OR management
Clark et al. ¹⁰	707 patients	Outpatients undergoing thyroid or parathyroid surgery have significant shorter turnover times than inpatients. Age was comparable in both groups but inpatients had significantly greater ASA PS (mean, 2.30 vs 2.13, <i>P</i> < 0.001)
Small et al. ¹¹	422 procedures	Dedicated operating rooms for orthopedic patients improve operating room efficiency. Age and ASA PS were not significantly different in study and control groups
Murray et al. ¹²	293 patients	Elective cases of inguinal hernia repair had shorter turnover times on Saturdays. But both, age, and ASA PS were significantly lower in patients operated on Saturdays

PubMed search on October 18, 2015, with MeSH terms and with key words defined by Wachtel and Dexter¹³: (“turnover time” OR “turnaround time” OR “overutilized time”) AND (age groups OR age factors) AND (operating rooms OR “operating room costs” OR “operating room efficiency”). A second search was defined as (“turnover time” OR “turnaround time” OR “overutilized time”) AND (age groups OR age factors) AND (preincision OR pre-incision OR “anesthesia-controlled” OR “anesthesia-release” OR “anesthesia release”) did not yield additional information.
OR = operating room; PS = physical status.

In elderly, high-risk patients who receive invasive monitoring, preparation times are especially difficult to estimate and almost always take longer than expected.⁸ Only a few years ago, “demographic change” was perceived as a theoretical construct, but in today’s OR settings, age-related demographic changes, specifically the greater share of elderly patients, have become reality. In an analysis of 1558 cases, Escobar et al.⁹ showed by multivariate regression analysis that patient age and ASA physical status (PS), among other factors, are predictive for OR turnover time. Other studies with smaller cohorts found ambiguous results (Table 1) or did not analyze the effect of age/ASA PS of the studied subjects. The managerial implications of patient age and ASA PS for OR management decisions, however, remain unclear.

We hypothesized that evaluating patient age and ASA PS in a large cohort and with the right model would improve accuracy of turnaround time estimates and, thus, would have decisive implications for OR management.

The availability of accurate estimates of turnaround times would permit reducing overutilized OR time. For practical application of this analysis, we computed a table for OR managers, which allows the OR manager to make improved scheduling decisions in many contexts.

METHODS

This retrospective study was conducted at the Saint Mary’s Hospital in Vechta, Germany, a 321-bed teaching hospital of Hannover University, with specialized surgical service in general, trauma, hand, pediatric, ear-nose-throat (ENT), plastic, as well as gynecology and obstetrics. Patient data, times, and surgical list were taken from the ORBIS™ database.

The ethics committee of the medical association of Niedersachsen, Hannover, Germany (Prof. Dr. med. Andreas Creutzig, Chair) evaluated the study based on § 15 of the Niedersachsen Medical Association’s professional code of conduct. On January 12, 2015, the study was waived for approval because neither the psychologic nor the physical integrity of patients was affected at any time.

Data for analysis were extracted from a database containing 36,834 cases in a 71-month period (May 30, 2007, through April 29, 2013). Samples with missing age and/or ASA PS were excluded, leaving 36,281 cases. Three ASA PS V cases were excluded. Limiting the analysis to scheduled inpatient cases in general surgery, trauma surgery, gynecology, and ENT on weekdays resulted in a dataset of 21,702 cases. First cases of the day and cases after a switch of the list in the room were excluded, leaving 14,712 cases. Cases in which the turnaround time exceeded 90 minutes were also excluded. This yielded 13,632 cases for final analysis.

Multivariate analyses included age (numeric/ordinal, binned into categories of 20 years), ASA PS (numeric/ordinal), surgical list (categorical), duration of the procedure (numeric), duration of the preceding procedure (numeric), and time (year). Thus, the durations of operative times and turnaround times are correlated with the studied periods. Other potentially relevant factors were not considered (e.g., time of day). The OR protocols were not changed during the time analyzed. No new OR processes were seen leading to sweeping procedural adjustments. The OR managerial team was in place continuously during the time analyzed. The numbers of OR procedures increased moderately during the 71-month period (0.044%, *P* = 0.0034). To correct for trends, the durations of operative times and the turnaround times were detrended by list, where a significant trend was found. The detrending was applied to set all the data to the levels of the most recent observations in the study period (meaning that we added the trend to data further in the past).

First, we assessed correlations and general descriptive features of the data. Then, we constructed decision tables consisting of 50th and 95th percentiles of age/ASA PS-dependent estimates that allow OR managers at our hospital to improve accuracy in scheduling turnaround times. In addition, we applied linear and generalized linear multivariate models to predict turnaround times. The forecasting power of the models was assessed in view of single cases but also in view of critical managerial key figures (50th and 95th percentile turnaround times). The models were calibrated on 80% of the data, and their predictive value was tested on the remaining 20%.

Data were collected and managed with MS Excel 2010 (Microsoft, Redmond, WA). Statistical analysis was performed with the use of R and RStudio (version 3.0.1, R Foundation, Vienna Austria). All statistical tests were used in a 2-sided setup; $P < 0.05$ was considered significant. To compute correlations, the Spearman coefficient was used because of lack of normal distribution and linearity. To identify best-fitting parametric models, Box-Cox transformations were applied to the potential (numeric) predictors (age and ASA PS were considered numeric in this setup in view of their bivariate relation to turnaround times). The Box-Cox transform helps stabilize variability in regression models by defining transformed variables as $T(X) = \frac{X^\lambda - 1}{\lambda}$ for $\lambda \neq 0$ and $T(X) = \ln(X)$ for $\lambda = 0$.

The transformed predictors were then used to define generalized linear models of turnaround times for all lists combined as well as separated by list. All possible combinations of predictors (per list and in total) were tested, and the best models in terms of Akaike information criterion were selected. The models were fitted with the use of least squares optimization. Because the predictors correlated, we computed the variance inflation factors for each model, which indicates how sensitive the models are on correlating predictors. Because linear and generalized linear models exhibit drawbacks associated with specific assumptions on the connection of variables and with the involvement of quantities known only with uncertainty before surgical procedure (duration of preceding surgical procedure, duration of surgical procedure), we included 50th and 95th percentile estimates of turnaround times by age, ASA PS, and list in our considerations. These estimates were then summarized in decision tables for OR management.

Confidence intervals (CI; 95%) were computed with 10,000 bootstrap samples (drawn with replacement; NA was entered if there were < 8 samples in a respective age/ASA PS category). To assess the amount of surgical case variability explained by the model, adjusted R^2 (linear, generalized linear models) and residual square sums (50th percentile models) were used. For the 50th and 95th percentile estimates of turnaround times, we considered the absolute differences between the age/ASA PS-dependent and age/ASA PS-independent forecasts divided by the age/ASA PS-independent forecast. This yielded a surgical case-independent assessment of key figure forecasting capability using an age/ASA PS model.

The defined models were assessed by training the models on 80% of the available data (randomly chosen without replacement) and applying them to the 20% remaining (test) data. One surgical case within these data contained information on the variables age, ASA PS, list, duration of the procedure, and turnaround time (no additional side constraints such as identity of the surgeon were considered). This training-testing procedure was repeated 10,000 times. To measure the predictive power of the model, we computed a robust variation of mean absolute percentage

error (MAPE) for each fit as median $\left[\frac{|\text{pred}_i - \text{real}_i|}{\text{real}_i} \right]$, where i denotes the samples within the 20% test data. The classical implementation of MAPE uses the mean instead of the

median. A drawback of MAPE, therefore, is its sensitivity to small measured values, resulting in potentially very high MAPE. This problem was addressed by using the median.

To deduce actual reductions of overutilized OR time when applying the results presented in this work, the following 2 scenarios were considered in a Monte Carlo simulation (with 10,000 runs):

1. Scenario A: scenario without estimates of times of procedure: We assumed that the OR manager 1 is scheduling the turnaround time 1 according to the medians of his past data (in our case, 80% of the available data, randomly chosen), either in general or separated by lists. OR manager 2 is using our data (computed based on the same 80% of randomly chosen data as OR manager 1). OR manager 2 schedules an age/ASA PS-specific turnaround time 2 as maximum of turnaround time 1 and our age/ASA PS-specific data. Then we let OR managers 1 and 2 apply their strategies to 20% of the remaining data and computed for each $\Delta 1 = \max(\text{effectively measured turnaround time} - \text{scheduled turnaround 1}, 0)$ and $\Delta 2 = \max(\text{effectively measured turnaround time} - \text{scheduled turnaround time 2}, 0)$, which are the overutilized OR times for each procedure. We computed the reduction of overutilized OR time for OR manager 2 by the fraction $(\text{sum}(\Delta 1) - \text{sum}(\Delta 2)) / \text{sum}(\Delta 1)$.
2. Scenario B: scenario including estimates of times of procedure: The turnaround times are estimated the same way as in scenario A. Additionally, the times of procedure are estimated as follows: for each procedure in the 20% of "unknown" data, the time of procedure is scheduled as the median time of procedures that the respective surgeon was using in the 80% "known" data in the same list. If there are no reference procedures for that surgeon, the overall median of procedures in the list was used as prediction of procedure time. This yields estimated total times of procedure and subsequent turnaround times (PT) 1 and PT 2. We defined $\Delta 1 = \max(\text{effectively measured time of procedure} + \text{effectively measured turnaround time} - \text{PT1}, 0)$ and $\Delta 2 = \max(\text{effectively measured time of procedure} + \text{effectively measured turnaround time} - \text{PT 2}, 0)$. Again, this expresses that if the procedure + turnaround time ended before schedule, all is fine ($\Delta = 0$).

In both scenarios, we assumed that overutilized OR time is penalized. Both scenarios could be used in settings with and without induction rooms. In both scenarios, specific daily patterns were not considered, and the procedures in the unknown 20% of data were assumed to take place one after the other. Because we randomly split the data (80%–20%) 10,000 times in both scenarios A and B, we considered the precedence of age, ASA PS, procedures, etc. in the study population.

Scenarios A and B were only applied with the orientation Tables 7–11, because they represent an easier-to-implement instrument for the OR manager than complex parametric models that would require additional software solutions.

Table 2. Number of Cases per Age Category and Surgical List

Age (y) ^a	General surgery	Trauma surgery	ENT	Gynecology
0–20	232	199	1437	85
20–40	519	298	1131	753
40–60	1298	549	1069	1432
60–80	1598	676	685	870
>80	341	223	109	128
Total	3988	1945	4431	3268

^aMinimal age was 0.0 y; 1st quartile, 32.0 y; median, 50.0 y; mean, 48.4 y; 3rd quartile, 67.0 y; maximum, 102.0 y.
ENT = ear-nose-throat.

Table 3. Number of Cases per ASA Physical Status and Surgical List

ASA	General surgery	Trauma surgery	ENT	Gynecology
I	365	327	1511	327
II	2265	1065	2340	2453
III	1177	512	544	471
IV	181	41	36	17
Total	3988	1945	4431	3268

ENT = ear-nose-throat.

Table 4. Linear Trend in Surgical Procedure Times over the Studied Period

List	Intercept (min)	Slope (min/d)	Max. correction	Adj. R ²
Overall	57.90 (<i>P</i> < 0.0001)	2.14E-05 (<i>P</i> = 0.973)	Not applied	<0.0001
General surgery	67.11 (<i>P</i> < 0.0001)	-0.0078 (<i>P</i> < 0.0001)	-16.39 min	0.0142
Trauma surgery	52.09 (<i>P</i> < 0.0001)	0.0038 (<i>P</i> = 0.0049)	+8.05 min	0.0036
ENT	45.02 (<i>P</i> < 0.0001)	0.0028 (<i>P</i> = 0.0132)	+5.76 min	0.0012
Gynecology	60.84 (<i>P</i> < 0.0001)	0.0090 (<i>P</i> < 0.0001)	+18.79 min	0.0102

ENT = ear-nose-throat.

RESULTS

Description of the Study Group

After using the described selection for the cases of the 36,834 patients represented in the database over the 71-month period, 13,632 cases were available for final analysis. As expected, the cases distributed unequally into age and ASA PS categories (compare Tables 2 and 3). The slope values for detrending the data by list, as well as the resulting maximum correction, the intercept, and adjusted R² are shown in Table 4 (duration of procedure) and Table 5 (turnaround times). Detrending was only applied if the slope was significant (*P* < 0.05). The characteristics of turnaround times in the sample are shown in Table 6.

Orientation Tables for OR Management

Table 7 shows 50th and 95th percentiles (medians) of detrended turnaround times in all lists combined. With this table, median turnaround times can be predicted more accurately than without age/ASA PS information (accuracy gain: all lists, 8.80%; general surgery, 4.46%; trauma surgery, 6.97%; and gynecology, 4.22%). Broken down into

Table 5. Linear Trend in Turnaround Times

List	Intercept (min)	Slope (min/d)	Max. correction	Adj. R ²
Overall	38.60 (<i>P</i> < 0.0001)	0.0006 (<i>P</i> = 0.0074)	Not applied	0.0005
General surgery	39.28 (<i>P</i> < 0.0001)	-0.0005 (<i>P</i> = 0.177)	<i>P</i> > 0.05	0.0002
Trauma surgery	40.91 (<i>P</i> < 0.0001)	0.0059 (<i>P</i> < 0.0001)	+12.28 min	0.0434
ENT	34.00 (<i>P</i> < 0.0001)	-0.0001 (<i>P</i> = 0.687)	<i>P</i> > 0.05	0.0002
Gynecology	39.68 (<i>P</i> < 0.0001)	0.0026 (<i>P</i> < 0.0001)	+5.53 min	0.0130

ENT = ear-nose-throat.

single-case predictions, this model explains 6.41% of case variability around an overall median and explains 1.85% of case variability around an overall median in general surgery, 5.57% in trauma surgery, 7.51% in ENT, and 1.73% in gynecology. The 50th and 95th percentiles of turnaround times separated by age and ASA PS categories are shown in Table 8 for general surgery, Table 9 for trauma surgery, Table 10 for ENT, and Table 11 for gynecology. With these tables, 50th and 95th percentiles of turnaround times can be predicted more accurately than without age/ASA PS information (accuracy gain: all lists, 5.97%; general surgery, 5.85%; trauma surgery, 5.21%; and gynecology, 3.86%).

Bivariate Correlations

Although correlations do not permit prediction of outcomes, they are relevant to our models. All computed correlations are significant, indicating that chances of finding $\rho > 0$ in other study groups is substantial. Table 12 shows Spearman rho of the assessed variables over the lists; Table 13 shows their correlation with turnaround time.

Generalized Bivariate Relations Between Predictors and Turnaround Times Using Box-Cox Transformation

The values of λ for the Box-Cox transform (outcomes are the turnaround times for each model) were 0.677 for ASA PS, 0.990 for age, 0.182 for the duration of the preceding surgical procedure, and 0.182 for the scheduled operation. When we used the detrended data (turnaround times, duration of preceding surgical procedure, and duration of scheduled surgical procedure), we obtained 0.677 for ASA PS and 0.990 for age, duration of preceding surgical procedure, and duration of scheduled surgical procedure. In the detrended calculations, only the relationship of turnaround time to ASA PS was far from linear ($\lambda < 1$); the other variables showed almost linear behavior.

Linear and Generalized Linear Models

Linear and generalized linear models comprising all possible combinations of predictors (age, ASA PS, detrended duration preceding surgical procedure, and detrended duration this surgical procedure) were tested for ability to explain turnaround times for all 4 lists combined and separately per list (16 × 5 = 80 models). The models with lowest Akaike information criterion were then selected as representing an optimal set of predictors of turnaround time. Because the

Table 6. Characteristics of Turnaround Times and Surgical Procedure Times (Without Trend Correction)

	Minimum	First quartile	Median	Mean	Third quartile	Maximum
Turnaround time (min)	0.60	28.80	37.20	39.21	46.2	90.00
Surgical procedure time	1.20	27.00	46.80	57.92	76.80	502.2

Table 7. Median and 95% CIs for 50th and 95th Percentiles for Turnaround Time and Number of Cases for All 4 Analyzed Surgical Lists Combined

Age (y)	Percentile	ASA I		ASA II		ASA III		ASA IV	
		Median (95% CI)	n	Median (95% CI)	n	Median (95% CI)	n	Median (95% CI)	n
0–20	50th	30 (28.8–31.2)	1226	31.2 (30–31.8)	676	36 (31.8–40.2)	43	42.8 (30–73.8)	8
20–40	50th	34.8 (34.2–36)	845	36 (35.9–37.2)	1765	40.2 (36–42)	87	35.5 (NA)	4
40–60	50th	37 (34.9–39)	381	40.1 (39–40.2)	3448	40.8 (39.1–42)	491	41.4 (36–48)	28
60–80	50th	38.8 (34.5–43.2)	70	40.2 (39.2–40.8)	2073	40.7 (40.2–42)	1546	43.8 (40.8–46.2)	140
>80	50th	35.1 (10.2–68.2)	8	39.7 (37–43.8)	161	45 (43.2–46.8)	537	48 (44.7–54)	95
0–20	95th	59.1 (56.3–61.9)	1226	67.2 (61.8–70.8)	676	70.7 (53.7–84)	43	81.2 (47.8–85.2)	8
20–40	95th	70.7 (64.7–73.6)	845	70.2 (67.2–72.4)	1765	75.2 (58.7–84.2)	87	59.3 (NA)	4
40–60	95th	66.3 (61.1–72)	381	70 (67.8–71.7)	3448	75.6 (70.2–80.3)	491	86.3 (60–90)	28
60–80	95th	74.1 (52.2–85.2)	70	76.2 (73.7–78)	2073	75.9 (73.7–79.4)	1546	78.1 (74.8–82.2)	140
>80	95th	86.6 (35.4–96.5)	8	74.5 (65.6–77.9)	161	81.4 (79.7–86.9)	537	79.3 (74.9–89.6)	95

CI = confidence interval; NA = not available.

Table 8. Median and 95% CIs for 50th and 95th Percentiles for Turnaround Time and Number of Cases for General Surgery

Age (y)	Percentile	ASA I		ASA II		ASA III		ASA IV	
		Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N
0–20	50th	34.2 (31.8–37.2)	81	36 (31.8–40.2)	85	40.2 (NA)	7	25.8 (NA)	1
20–40	50th	34.8 (33.0–37.2)	140	34.8 (34.2–36)	355	34.2 (30–39)	24	NA (NA)	0
40–60	50th	33.0 (31.8–34.8)	108	34.8 (34.2–34.8)	981	39 (36.6–40.8)	191	40.8 (34.8–54)	18
60–80	50th	37.8 (31.8–43.8)	27	34.8 (34.8–36)	765	37.2 (36–37.8)	707	42 (37.8–46.2)	99
>80	50th	10.2 (NA)	1	34.8 (30.0–37.8)	52	37.8 (36–40.2)	231	43.2 (39–46.8)	57
0–20	95th	66.0 (58.2–79.8)	81	78.1 (66.6–84)	85	47.6 (NA)	7	25.8 (NA)	1
20–40	95th	58.2 (53.5–67.8)	140	69.3 (61.8–76.8)	355	44.8 (40.8–48)	24	NA (NA)	0
40–60	95th	67 (49.6–78)	108	64.2 (58.8–67.8)	981	75.6 (67.8–82.9)	191	88.5 (58.6–90)	18
60–80	95th	62.4 (51.0–79.8)	27	72 (65.8–76.2)	765	71.6 (68.9–79.2)	707	76.9 (66.8–80)	99
>80	95th	10.2 (NA)	1	50 (46.2–68.1)	52	70.2 (63.6–78)	231	76.6 (66.1–85.2)	57

CI = confidence interval; NA = not available.

Table 9. Median and 95% CIs for 50th and 95th Percentiles for Turnaround Time and Number of Cases for Trauma Surgery

Age (y)	Percentile	ASA I		ASA II		ASA III		ASA IV	
		Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N
0–20	50th	45.8 (43.8–47.6)	154	50.1 (47.9–58.6)	41	35.7 (NA)	2	52.7 (NA)	1
20–40	50th	51.5 (47.1–54.9)	112	49.1 (46.8–53.5)	179	61 (NA)	7	NA (NA)	0
40–60	50th	44.5 (41.4–48.6)	47	48.2 (46.9–49.6)	447	56.2 (49.6–61.8)	53	61.9 (NA)	2
60–80	50th	43.3 (31.8–45.4)	11	50.1 (48.1–52.1)	359	55.3 (53–57.2)	292	69.2 (42.8–75.9)	14
>80	50th	82.35 (NA)	2	51.8 (48.3–63.4)	39	59.3 (58–62.3)	158	66.9 (57.5–74.5)	24
0–20	95th	79.6 (67.5–84.6)	154	87.8 (69.2–94.3)	41	37.1 (NA)	2	52.7 (NA)	1
20–40	95th	87.8 (77.0–91.4)	112	83 (73.9–89.1)	179	82.6 (NA)	7	NA (NA)	0
40–60	95th	68.6 (58.1–83.2)	47	77.7 (75–81.4)	447	81.5 (69.6–88.4)	53	66.4 (NA)	2
60–80	95th	67.3 (44.2–85.2)	11	82.8 (78.1–86.2)	359	88.3 (82–91.8)	292	83.3 (74.8–88.9)	14
>80	95th	95.1 (NA)	2	80.5 (75.3–87.5)	39	89.8 (84.6–93.6)	158	90 (75.2–92.6)	24

CI = confidence interval; NA = not available.

predictors correlate (Tables 12 and 13), we also computed the square root of the variance inflation factor to assess additional imprecision that must be considered in the estimates of the model coefficients (maximum value of 1.32, indicating low impact of correlated predictors; Tables 14 and 15).

A reasonable model fit for trauma surgery (23.49% of case variability explained) was observed. The model suggests a dependence of detrended turnaround time on ASA

PS, detrended duration of the preceding surgical procedure, and detrended duration of the surgical procedure. From such models, turnaround time can be predicted from knowledge of the predictor variables and coefficients. In the case of trauma surgery, the model reads (all times in minutes):

$$\text{Turnaround time} = 31.78 + 3.23 \times \text{ASA} + 0.06 \times \text{duration of preceding OP} + 0.19 \times \text{duration of the scheduled OP}.$$

Table 10. Median and 95% CIs for 50th and 95th Percentiles for Turnaround Time and Number of Cases for ENT

Age	Percentile	ASA I		ASA II		ASA III		ASA IV	
		Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N
0–20	50th	28.2 (27–28.2)	942	28.8 (28.2–30.0)	477	31.2 (25.5–52.5)	15	NA (NA)	0
20–40	50th	30 (28.8–31.2)	424	31.2 (30–31.8)	685	34.8 (28.2–41.1)	21	42 (NA)	1
40–60	50th	31.2 (30–34.2)	123	34.8 (34.2–34.8)	791	34.8 (33.6–37.5)	148	42 (NA)	7
60–80	50th	36 (25.8–40.2)	17	36 (34.2–37.2)	351	37.5 (36–39)	296	43.8 (41.7–51)	21
>80	50th	25.8 (NA)	3	32.1 (27–40.2)	36	40.2 (38.1–45)	63	48 (NA)	7
0–20	95th	46.8 (44.4–49.2)	942	52.6 (48–58.3)	477	71.7 (49–73.8)	15	NA (NA)	0
20–40	95th	58.0 (52.7–64.2)	424	59.6 (52.2–67.7)	685	51 (42–58.8)	21	42 (NA)	1
40–60	95th	61.2 (53.6–73.8)	123	61.2 (58.2–64.2)	791	66 (56.1–78)	148	51.8 (NA)	7
60–80	95th	70.3 (40–82.8)	17	66.3 (58.2–71.3)	351	67.4 (61.2–75.5)	296	73.2 (54.1–90)	21
>80	95th	33.4 (NA)	3	61.4 (47.9–76.2)	36	79.1 (67.2–87.2)	63	63.8 (NA)	7

CI = confidence interval; ENT = ear-nose-throat; NA = not available.

Table 11. Median and 95% CIs for 50th and 95th Percentiles for Turnaround Time and Number of Cases for Gynecology

Age	Percentile	ASA I		ASA II		ASA III		ASA IV	
		Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N	Median (95% CI)	N
0–20	50th	41.6 (36.7–44.6)	38	39.6 (37.8–47.5)	46	33.1 (NA)	1	NA (NA)	0
20–40	50th	38.2 (36.3–39.5)	169	40.5 (39.2–41.8)	546	44.2 (41–53.6)	35	29 (NA)	3
40–60	50th	43.5 (41.3–45.2)	103	45.0 (44.2–46.2)	1229	47.4 (43.7–50.3)	99	29.7 (NA)	1
60–80	50th	44.6 (35.2–48.4)	15	44.2 (43.2–45.2)	598	44.1 (42.7–45.1)	251	48.4 (NA)	6
>80	50th	45.2 (NA)	2	40.5 (36.7–48.6)	34	43.7 (42.1–47.9)	85	55.2 (NA)	7
0–20	95th	50.4 (48.4–61.4)	38	75.3 (64.0–92.3)	46	33.1 (NA)	1	NA (NA)	0
20–40	95th	71.8 (59.6–80.7)	169	69.1 (64.9–75.4)	546	78.6 (57.6–91.7)	35	59 (NA)	3
40–60	95th	65.9 (57.4–73.6)	103	72.3 (69.1–74.8)	1229	70.9 (67.4–80.9)	99	29.7 (NA)	1
60–80	95th	63.74 (47.9–90.7)	15	77.9 (71.3–81.0)	598	69.0 (64.5–74.4)	251	75.4 (NA)	6
>80	95th	53.4 (NA)	2	61.2 (51.3–73.2)	34	73.5 (61.3–79.9)	85	62.9 (NA)	7

CI = confidence interval; NA = not available.

Table 12. Spearman Correlations of All Variables, Aggregated over All Lists

	Turnaround time	Age	ASA	Duration preceding surgical procedure	Duration scheduled surgical procedure
Turnaround time	1	0.239	0.200	0.162	0.410
Age	0.239	1	0.630	0.094	0.194
ASA	0.200	0.630	1	0.064	0.156
Duration preceding surgical procedure	0.162	0.094	0.064	1	0.142
Duration surgical procedure	0.410	0.194	0.156	0.142	1

P < 0.0001 for all correlations. Turnaround times and surgical procedure durations were detrended.

SEs of the coefficients were between 3% (intercept) and 15% (ASA PS coefficient). With Box-Cox transformed predictors, slightly different sets of predictors for the models were obtained, but due to almost linear relations of most of the variables, the explained case variabilities did not change relevantly.

Comparison of All Models

In view of their generalizability and, thus, predictive power, the aforementioned models were compared by training on 80% of the data and predicting on the remaining 20%. A robust version of MAPE was then computed: absolute differences of the predicted and the measured turnaround times (single cases) were divided by the measured turnaround times. We computed the median of these values and repeated the entire procedure 10,000 times. For comparison, we also computed the predictive power of simple medians taken over the turnaround times for each list category. Table 16 shows that the models perform differently among

the lists. All 3 models decreased the forecasting error when the turnaround times were not separated by list. In gynecology, the age/ASA PS median model performed worse than the parametric models in the first 2 columns, but better than an overall median without age/ASA PS. The parametric models performed better in all lists, except for general surgery. In surgery, the age/ASA PS model performed best, even though the difference from the overall median model was only approximately 2.5%; In the best cases (trauma surgery and ENT), our parametric models performed approximately 20% better than a median estimate of turnaround times. These results indicate that the models provide a relevant increase in planning accuracy for the single cases.

Reduction of Overutilized OR Time of a Service with Orientation Tables

Even though the parametric models were able to predict turnaround times more accurately (compare Table 16), they are arguably more complex in application for the OR

Table 13. Correlations of Detrended Turnaround Times and Age, ASA, Duration of Preceding Surgical Procedure, Duration of Surgical Procedure, Separated by Lists

Correlation turnaround time with	General surgery	Trauma surgery	ENT	Gynecology
Age	0.077	0.164	0.281	0.098
ASA	0.123	0.192	0.230	0.080
Duration preceding surgical procedure	0.098	0.193	0.070	0.090
Duration surgical procedure	0.274	0.510	0.417	0.284

$P < 0.0001$ for all correlations. Like turnaround time, surgical procedure durations are detrended. ENT = ear-nose-throat.

Table 14. Explained Case Variability Percent (95% CI) by AIC Optimal Models (Detrended Variables)

Sets	Explained case variability	Predictors (linear model)
All lists combined	15.46% (14.83%–16.13%)	age+asa+ duration_preceding_surgical_procedure+ duration_this_surgical_procedure
Trauma surgery	23.49% (21.84%–25.00%)	asa+ duration_preceding_surgical_procedure+ duration_this_surgical_procedure
General surgery	7.17% (6.05%–8.03%)	age+asa+ duration_preceding_surgical_procedure+ duration_this_surgical_procedure
ENT	16.62% (15.27%–17.93%)	age+asa+ duration_this_surgical_procedure
Gynecology	7.39% (6.40%–8.48%)	age+asa+ duration_preceding_surgical_procedure+ duration_this_surgical_procedure

AIC = Akaike information criterion; CI = confidence interval; ENT = ear-nose-throat.

Table 15. Explained Case Variability Percent (95% CI) by AIC Optimal Models with Box-Cox Transformed Predictors (All Variables Detrended)

Set	Explained case variability	Predictors (generalized linear model)
All lists combined	14.95% (14.38%–15.56%)	asa+duration_preceding_surgical_procedure+duration_this_surgical_procedure
Trauma surgery	23.00% (24.57%–26.23%)	asa+duration_preceding_surgical_procedure+duration_this_surgical_procedure
General surgery	6.00% (5.08%–6.75%)	asa+duration_preceding_surgical_procedure+duration_this_surgical_procedure
ENT	14.76% (13.80%–15.65%)	asa+duration_this_surgical_procedure
Gynecology	7.12% (6.18%–8.32%)	asa+duration_preceding_surgical_procedure+duration_this_surgical_procedure

AIC = Akaike information criterion; CI = confidence interval; ENT = ear-nose-throat.

manager. The simplest yet most-effective approach is given through our age/ASA PS orientation tables. In terms of reduction of overutilized OR time of a service, they produce a significant impact. Table 17 shows the reduction of

Table 16. Robust MAPE (Percent) of the Models Compared with Robust MAPE of Simple Medians within List Categories

	Linear model	Generalized linear model	Medians of age—ASA categories	Model without age—ASA
General surgery	19.7%	19.9%	19.3%	19.8%
Trauma surgery	16.8%	16.6%	20.1%	21.0%
ENT	19.5%	20.2%	21.3%	23.1%
Gynecology	17.2%	17.3%	17.7%	18.0%
All lists	21.5%	21.8%	22.4%	23.3%

10,000 bootstrap samples, 80% training and 20% test data; the estimates are accurate to the displayed digits.

ENT = ear-nose-throat; MAPE = mean absolute percentage error.

Table 17. Reduction in Overutilized OR Time of a Service When Applying the Orientation Tables in Scenarios A and B

Reduction of overutilized time (%)	Scenario A (95% CI)	Scenario B (95% CI)
All lists	13.27% (12.13–14.31)	4.49% (4.05–4.89)
General surgery	6.27% (4.71–7.89)	2% (1.44–2.59)
Trauma surgery	14.38% (11.44–17.71)	5.79% (4.5–8.33)
ENT	15.54% (13.53–17.83)	4.41% (3.75–5.11)
Gynecology	7.74% (6.27–9.54)	2.2% (1.72–2.84)

Scenario B takes the uncertainty of the surgical procedure duration into account for scheduling, whereas scenario A does not. 10,000 repetitions of sampling of 80% training and 20% test data.

CI = confidence interval; ENT = ear-nose-throat; OR = operating room.

overutilized OR time of a service in scenario A (only estimates of turnaround times) and scenario B (estimates of turnaround times and durations of procedures). In general, we see that the impact of the orientation tables is relativized if times of procedure are estimated under uncertainty (scenario B).

Within scenario B, we measured median overutilized OR times for a service of 23.1 minutes (95% CI, 21.5–24.7 minutes) for all lists combined, 21.2 minutes (95% CI, 18.9–23.4 minutes) for general surgery, 21.2 minutes (95% CI, 17.8–24.8 minutes) for trauma surgery, 22.6 minutes (95% CI, 20.0–25.4 minutes) for ENT, and 24.7 minutes (95% CI, 21.4–28.3 minutes) for gynecology. Our orientation tables, thus, yield a median reduction of overutilized OR times in scenario B on average per case of 1.0 minutes (all lists combined), 0.4 minutes (general surgery), 1.2 minutes (trauma surgery), 1.0 minutes (ENT), and 0.5 minutes (gynecology).

DISCUSSION

Our data show that age and ASA PS are relevant predictors for OR turnaround time. Key figures such as 50th and 95th percentiles of turnaround times were predicted with greater accuracy when age and ASA PS were included in considerations. The parametric models included factors (duration of preceding surgical procedure and duration of this surgical procedure) that need to be predicted for scheduling. In practice, the quality of these estimates varies widely. Our OR management decision table (nonparametric model) does not require advance estimation of any uncertain properties; age and ASA PS are known well in advance within the recommended 2 working days for planning anesthesia assignments with the scheduling office.¹⁴ Comparison

of the predictive power of the presented models with a simple median prediction shows an increase in accuracy of 7.7% (all lists), ranging from 2.5 (general surgery) to 21.0% (trauma surgery), which could facilitate reducing overutilized OR time of a service.

Numerous factors could limit the generalizability of our findings. OR turnaround times are interdependent phenomena and include additional complex factors such as cleaning procedures, preparation of surgical instruments, timely availability of staff, or time of day,^{15,16} which might impact OR management. Other factors, like a planned change of the surgeon,¹⁷ have no impact on OR management.⁴ Although the ASA PS classification of physical health is a widely used grading system for the preoperative health of surgical patients, multiple variations have been observed among assessments of individual anesthesiologists when describing common clinical problems.¹⁸⁻²¹ The retrospective, single-center design of this study further limits the generalizability of our findings. Future prospective and multicenter studies might generate a more comprehensive model and allow generalization the findings. However, comprehensive inclusion of all contributing factors would be an exceedingly complex task.

Dexter et al.²² showed that knowledge of OR efficiency was low among OR staff, even though seemingly simple actions can have large effects; for example, changing the supervision ratio of anesthesia residents from 1:2 to 1:3 has an effect on first-case starts.²³ Our results can be used for OR staff education. A review of experimental social-psychology studies by Prahl et al. showed that quality of decisions was improved when all group participants shared knowledge, because groups are more susceptible to analogous biases than are educated individuals. The implication of this finding is that leaders will find the most success if, instead of bringing OR management operational decisions to groups, they act autocratically while obtaining necessary information in one-on-one conversations.²⁴ Thus, making sure group participants are aware of such research is key to having meaningful one-on-one conversations.

Patient age and ASA PS are variables that affect turnaround time, and evaluating these variables can help to better predict turnaround times. Given that reducing overutilized OR time is a key issue in OR management,¹ the fact that our age/ASA PS-dependent model improved accuracy of forecasts for turnaround time, we suggest that critical OR stakeholders should understand the impact of ASA PS and age on turnaround time. Decision tables incorporating these factors, such as presented in this study, should be available and should be used in OR scheduling. Considering an aging population, turnaround times will be increasingly prolonged, directly impacting OR management for lists with many short operations (whereas potentially negligible in lists with few long cases). Our models are robust and may allow for more efficient operational decision making on the day of surgery by reducing minutes of overutilized OR time while their impact on strategic and tactical decisions might be limited.

There are numerous examples in which such a hospital-specific table would be of use, especially for lists with many short operations in a geriatric cohort: In cases of

overlapping induction rooms, advanced age/high-score ASA PS patients could be scheduled earlier; if 2 ORs are available, advanced age/high-score ASA PS patients could be scheduled into the OR with the longer estimated underutilized time; in situations in which there is a shortage of transporters (e.g., early morning when all ORs are to be started and some transporters are missing), advanced age/high-score ASA PS patients could be given priority for transport to the OR. As well, longer standard turnaround times could be planned in ORs with advanced age/high-score ASA PS patients; relative "overloading" of an OR with advanced age/high-score ASA PS patients could be avoided by distributing advanced age/high-score ASA PS patients across multiple ORs where feasible. The influence of age/ASA PS on overutilized OR time will not only be just the change in turnaround time but also of the incidence of ASA PS and age. This will also be correlated to duration of the workday, add-on cases, and single/>1 surgeon, because of heterogeneity among specialties in these characteristics.

Our methods are applicable for any department, whereas the specific results might differ, given the study design. ■■

DISCLOSURES

Name: Markus M. Luedi, MD, MBA.

Contribution: This author helped design the study, conduct the study, analyze the data, and write the manuscript.

Attestation: Markus M. Luedi has seen the original study data, reviewed the analysis of the data, approved the final manuscript, and is the author responsible for archiving the study files.

Name: Peter Kauf, PhD.

Contribution: This author helped design the study, conduct the study, analyze the data, and write the manuscript.

Attestation: Peter Kauf has seen the original study data, reviewed the analysis of the data, and approved the final manuscript.

Name: Lisa Mulks, MSc.

Contribution: This author helped design the study, conduct the study, and analyze the data.

Attestation: Lisa Mulks has seen the original study data, reviewed the analysis of the data, and approved the final manuscript.

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Attestation: Katharina Wieferrich has seen the original study data, reviewed the analysis of the data, and approved the final manuscript.

Name: Ralf Schiffer, BHA.

Contribution: This author helped conduct the study and analyze the data.

Attestation: Ralf Schiffer has seen the original study data, reviewed the analysis of the data, and approved the final manuscript.

Name: Dietrich Doll, MD, PhD.

Contribution: This author helped design the study, conduct the study, analyze the data, and write the manuscript.

Attestation: Dietrich Doll has seen the original study data, reviewed the analysis of the data, and approved the final manuscript.

This manuscript was handled by: Franklin Dexter, MD, PhD.

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